

For the month ended (dd/mm/yyyy):

# Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearing	ng Limited		
Name of Issuer UBA In  Date Submitted 02/12/2	ovestments Limited		
I. Movements in Authorised Share Cap	ital		
1. Ordinary Shares			
(1) Stock code : 768 Descri	ption:	UBA Investme	nts Limited
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)
Balance at close of preceding month	2,000,000,000	0.01	20,000,000
Increase/(decrease)	0		0
Balance at close of the month	2,000,000,000	0.01	20,000,000
(2) Stock code : N/A Descri	ption :	N/A	<u> </u>
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A	N/A	N/A
Increase/(decrease)	N/A		N/A
Balance at close of the month	N/A	N/A	N/A

30/11/2019

Stock code : N/A Descri	ription: N/A			tock code : N/A Description :		A
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)			
Balance at close of preceding month	N/A	N/A         N/A         N/A           N/A         N/A				
Increase/(decrease)	N/A					
Balance at close of the month	N/A	N/A	N/A			
3. Other Classes of Shares						
Stock code : N/A Descri	iption :	N/A				
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)			
Balance at close of preceding month	N/A	N/A	N/A			
Increase/(decrease)	N/A		N/A			

Total authorised share capital at the end of the month (HK\$) 20,000,000

N/A

N/A

Balance at close of the month

### II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes of shares	
	(1)	(2)	shares		
Balance at close of preceding month	1,271,732,200	N/A	N/A	N/A	
Increase/ (decrease) during the month	0	N/A	N/A	N/A	
Balance at close of the month	1,271,732,200	N/A	N/A	N/A	

### III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of share No. of new shares of No. of new shares of option scheme issuer which may be issuer issued during including EGM the month pursuant issued pursuant thereto as at close of the month approval date thereto Movement during the month (dd/mm/yyyy) and class of shares issuable Exercised Lapsed Granted Cancelled 1.N/A N/A N/A N/A N/A N/A shares N/A (Note 1) 2. N/A shares N/A N/A N/A N/A N/A N/A (Note 1) 3. N/A N/A N/A N/A \_\_\_\_ N/A N/A shares N/A (*Note 1*) Total A. (Ordinary shares) N/A (Preference shares) N/A N/A (Other class) Total funds raised during the month from exercise of options (State currency) N/A

For Main Board and GEM listed issuers Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. N/A N/A N/A N/A N/A N/A N/A / / Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ( / / )

Total B.

(Ordinary shares) (Preference shares)

(Other class)

N/A

N/A

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Amount at close Converted Amount at thereto close of the amount of preceding during the close of the month Class and description outstanding month month month 1. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) ( / / )(dd/mm/yyyy) 3. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares) (Preference shares) N/A (Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

tnan	under Share Option Schemes)		
if a	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A		
	( / / ) shares (Note 1)	N/A	N/A
2.	N/A		
	( / / ) shares (Note 1)	N/A	N/A
3.	N/A	1,172	
	( / / )		
	shares (Note 1)	N/A	N/A
	Total D. (Ordinary shares)	N/A N/A	
	(Preference shares) (Other class)	N/A N/A	

Other Movements in Issued Share Capital No. of new shares No. of new of issuer issued shares of during the month issuer pursuant thereto which may be issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) ( / / ) 1. Rights issue At price : State currency Issue and allotment N/A N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) 2. Open offer At price: State currency Issue and allotment N/A N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) ( / / ) At price : State currency Issue and allotment N/A Placing N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) ( / / Issue and allotment 4. Bonus issue N/A N/A date: (dd/mm/yyyy) ( / / EGM approval date: (dd/mm/yyyy)

For Main Board and GEM listed issuers

	Type of Issue		T of Iviani	Dom	No. of new shares of issuer issued during the month pursuant thereto	
		Class of shares issuable (Note 1)				
5.	Scrip dividend At price: State currency	Issue and allotment date: (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares repurchased (Note 1)				
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		_
		Class of shares redeemed (Note 1)				
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares issuable (Note 1)				
8.	Consideratio At price : State currency	Issue and allotment date: (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		
						_

#### For Main Board and GEM listed issuers

N/A

N/A

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	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of th month
			Class of shares issuable (Note 1)		_		
9.	Capital reorganisati on		Issue and allotment date: (dd/mm/yyyy)	( / /	)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
10.	Other (Please specify) At price : State current	ncy ———	Issue and allotment date: (dd/mm/yyyy)	( / /	)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Total E.	(Ordinary (Preference (Othe		N/A	- - -
Т	Total increase-/ (decrease) in ord	inary shares during	the month (i.e. Total of A t	o E):	(1)		
					(2)	N/A	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):				
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Submitted by: Mr. CHENG Wai Lun, Andrew				
Title: Executive Director (Director, Secretary or other duly authorised officer)				

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.