

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): 31/12/2020

10: Hong Kong Exchanges and	d Clearing Lim	ited			
Name of Issuer	UBA Investme	ents Limited			
Date Submitted	04/01/2021				
I. Movements in Authorised Sh	are Capital				
1. Ordinary Shares					
(1) Stock code : <u>768</u>	Description :	1	UBA Investme	ents Limited	
	No	. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)	
Balance at close of preceding n	nonth	2,000,000,000	0.01	20,000,000	
Increase/(decrease)		0		0	
Balance at close of the month		2,000,000,000	0.01	20,000,000	
(2) Stock code : N/A	Description :		N/A		
	No	. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding n	nonth	N/A	N/A	N/A	
Increase/(decrease)		N/A		N/A	
Balance at close of the month		N/A	N/A	N/A	

2. Preference Shares		F	or Main Board and GEI	
Stock code : N/A Descri	iption :	N/A		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	
Balance at close of the month	N/A	N/A	N/A	
3. Other Classes of Shares				
Stock code : N/A Descri	iption :	N/A		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	

Total authorised share capital at the end of the month (HK\$) 20,000,000

Balance at close of the month

N/A

N/A

II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes		
	(1) (2)		shares	of shares		
Balance at close of preceding month	1,271,732,200	N/A	N/A	N/A		
Increase/ (decrease) during the month	0	N/A	N/A	N/A		
Balance at close of the month	1,271,732,200	N/A	N/A	N/A		

III. Details of Movements in Issued Share Capital

options (State currency)

Share Options (under Share Option Schemes of the Issuer) Particulars of share No. of new shares of No. of new shares of option scheme issuer issued during issuer which may be including EGM the month pursuant issued pursuant thereto as at close of the month approval date thereto Movement during the month (dd/mm/yyyy) and class of shares issuable Exercised Lapsed Granted Cancelled 1.N/A N/A N/A N/A N/A N/A shares N/A (Note 1) 2. N/A N/A N/A N/A N/A N/A N/A shares (Note 1) 3. N/A N/A N/A N/A N/AN/A shares N/A (*Note 1*) Total A. (Ordinary shares) N/A (Preference shares) N/A N/A (Other class) Total funds raised during the month from exercise of

N/A

Warrants to Issue Shares of the Issuer which are to be Listed

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /	·)				
2. N/A						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 3. N/A	(/ /					
3.17/1						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy) 4. N/A	(/ /)				
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /	')				
	,	Total	(Prefere	nary shares) ence shares) Other class)	N/A N/A N/A	- - -

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Amount at close Converted Amount at thereto close of the amount of preceding during the close of the month Class and description outstanding month month month 1. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (/ /)(dd/mm/yyyy) 3. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares) (Preference shares) N/A (Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

man	under Share Option Schemes)		
if a	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A (/ /)		
	shares (Note 1)	N/A	N/A
2.	N/A		
-	(/ /)		
	shares (Note 1)	N/A	N/A
3.	N/A		
	shares (Note 1)	N/A	N/A
]	Total D. (Ordinary shares)	N/A	
·	(Preference shares)	N/A	
-	(Other class)	N/A	

Other Movements in Issued Share Capital No. of new shares No. of new of issuer issued shares of during the month issuer pursuant thereto which may be issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) (/ /) 1. Rights issue At price : State currency Issue and allotment N/A N/A date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) 2. Open offer At price: State currency Issue and allotment N/A N/A date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) (/ /) At price : State currency Issue and allotment N/A Placing N/A date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) (/ / Issue and allotment 4. Bonus issue N/A N/A date: (dd/mm/yyyy) (/ / EGM approval date: (dd/mm/yyyy)

For Main Board and GEM listed issuers

	Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		Class of shares issuable (Note 1)				
5.	Scrip At price : State currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares repurchased (Note 1)				
6.	Repurchase of shares	Cancellation date: (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares redeemed (Note 1)				
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares issuable (Note 1)				
8.	Consideratio At price : State currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
					-	

N/A

N/A

				For Main	Board	and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	shares of
			Class of shares issuable (Note 1)	e			
9.	Capital reorganisati on		Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)	·			
10.	Other (Please specify)	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Total E.	(Preference		s) N/A	
Т	otal increase-/	(decrease) in ordinary	shares during the month (i.e. Total of A	to E):	(1	NT/A	
1					(2	\ IN/A	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):				
Submitted by: Mr. CHENG Wai Lun, Andrew Title: Executive Director				
(Director, Secretary or other duly authorised officer)				

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.