

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : \_\_\_\_\_31/01/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	UBA Investments Limited
Date Submitted	01/02/2021

I. Movements in Authorised Share Capital

1. Ordinary Shares					
(1) Stock code : <u>768</u> Descrip	otion : U	UBA Investments Limited			
	No. of ordinary shares	Par value (HK\$)	Authorised share capital <i>(HK\$)</i>		
Balance at close of preceding month	2,000,000,000	0.01	20,000,000		
Increase/(decrease)	0		0		
Balance at close of the month	2,000,000,000	0.01	20,000,000		
(2) Stock code : <u>N/A</u> Descrip	otion :	<u> </u>			
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding month	N/A	N/A	N/A		
Increase/(decrease)	N/A		N/A		
Balance at close of the month	N/A	N/A	N/A		

## 2. Preference Shares

Stock code : <u>N/A</u> Descr	ription :	tion : N/A		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	
Balance at close of the month	N/A	N/A	N/A	

## 3. Other Classes of Shares

Stock code : <u>N/A</u> Descri	ption :	N/A	A
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A	N/A	N/A
Increase/(decrease)	N/A		N/A
Balance at close of the month	N/A	N/A	N/A

Total authorised share capital at the end of the month (HK\$) 20,000,000

## II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes
	(1)	(2)	shares	of shares
Balance at close of preceding month	1,271,732,200	N/A	N/A	N/A
Increase/ (decrease) during the month	0	N/A	N/A	N/A
Balance at close of the month	1,271,732,200	N/A	N/A	N/A

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	Schemes of the	Issuer)			
Particulars of share option scheme					No. of new shares of issuer issued during	No. of new shares of issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement duri	ing the month		thereto	as at close of the month
(dd/mm/yyyy) and -			8		-	
class of shares issuable	Granted	Exercised	Cancelled	Langed		
1.N/A	Granted	Exercised	Cancelled	Lapsed		
1.1N/A						
( / / )						
shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)						
2. N/A						
shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)	11/A		1 <b>N</b> /A	1N/A	1N/A	11/A
3. N/A						
( / / )	/ .	4 -				
shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)		T			<b>N</b> T/ <b>A</b>	
		10	otal A. (Ordin	•	N/A	
			· ·	nce shares) Other class)	<u> </u>	
Total funds raised due	ring the month	from avaraisa	(		11//7	
Total funds raised due options (State current		i nom exercise (	)1	N/A		
options (State current	- 5 /			1.1.1		

Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer which issuer issued may be issued during the month pursuant pursuant thereto as at Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. N/A N/A N/A N/A N/A N/A N/A / / ( ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ( / / ) 2. N/A N/A N/A N/A N/A N/A N/A ( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ) 3. N/A N/A N/A N/A N/A N/A N/A / / ) ( Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A ( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) ( / / ) (dd/mm/yyyy) Total B. (Ordinary shares) N/A (Preference shares) N/A (Other class) N/A

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible i		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy))	( / / )					
2. N/A						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3. N/A						
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
4. N/A						
Stock code (if listed)	N/A	N/A	N/A	N/A	N/A	N/A
issuable ( <i>Note 1</i> ) Subscription price						
(if applicable) (dd/mm/yyyy)	( / / )		Total C. (Ordir	nary shares)	N/A	
			(Prefere	ence shares) Other class)	N/A N/A N/A	-

unan	under Share Option Schemes)		
if a	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A		
•			
	shares (Note 1)	N/A	N/A
•		1.011	
2	N/A		
<i>2</i> .			
	shares (Note 1)	N/A	N/A
	shares (Note 1)	IN/A	IN/A
3	N/A		
5.			
-			
	(///)	N/A	N/A
	shares (Note 1)	1N/A	1N/A
	Total D. (Ordinary shares)	N/A	
	(Preference shares)	N/A	
	(Other class)	N/A	

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

	Type of Issue			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the month
		Class of shares issuable			
1.	Rights issue At price : State	(Note 1) Issue and allotment date : (dd/mm/yyyy)	(//)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / / )		
		Class of shares issuable			
2.	Open offer At price : State	(Note 1) Issue and allotment date : (dd/mm/yyyy)	(//)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / / )		_
		Class of shares issuable (Note 1)			
3.	Placing At price : State	Issue and allotment date : (dd/mm/yyyy)	( / / )	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / / )		
		Class of shares issuable (Note 1)			
4.	Bonus issue	Issue and allotment date : (dd/mm/yyyy)	( / / )	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / / )		

	Type of Issue		For Main	Boar	d and GEM listed iss No. of new shares of issuer issued during the month pursuant thereto	
5.	Scrip At price : State dividend	Class of shares issuable ( <i>Note 1</i> ) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /	,	N/A	N/A
6.	Repurchase of shares	Class of shares repurchased (Note 1) Cancellation date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /		N/A	N/A
7.	Redemption of shares	Class of shares redeemed (Note 1) Redemption date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /		N/A	N/A
8.	Consideratio At price : State n issue	Class of shares issuable (Note 1) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A

	Type of Issue			For Main Board	and GEM listed iss No. of new shares of issuer issued during the month pursuant thereto	
9.	Capital reorganisati on		Class of shares issuable (Note 1) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / / ) ( / / )	N/A	N/A
10.	Other (Please specify)	At price : State currency	Class of shares issuable ( <i>Note 1</i> ) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / / ) ( / / )	N/A	N/A
			Total E.	(Ordinary share (Preference share (Other clas	s) N/A	- <u>-</u>

Total increase-/ (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	0		
	(2)	N/A		
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A		
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A		
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)				

Remarks (if any):

Submitted by: Mr. CHENG Wai Lun, Andrew

 Title:
 Executive Director

 (Director, Secretary or other duly authorised officer)

*Notes* :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.