

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): <u>30/09/2009</u>

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	UBA Investments Limited	
Date Submitted	6/10/2009	

I. Movements in Authorised Share Capital

1. Ordinary Shares									
(1) Stock code : 768 Description : UBA Investments Limited									
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)						
Balance at close of preceding month	2,000,000,000	0.01	20,000,000						
Increase/(decrease)	0		0						
Balance at close of the month	2,000,000,000	0.01	20,000,000						
(2) Stock code : <u>N/A</u> Descrip	otion :	otion : N/A							
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)						
Balance at close of preceding month	N/A	N/A	N/A						
Increase/(decrease)	N/A		N/A						
Balance at close of the month	N/A	N/A	N/A						

2. Preference Shares

Stock code : <u>N/A</u> Descr	ription :	N/A	A
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A	N/A	N/A
Increase/(decrease)	N/A		N/A
Balance at close of the month	N/A	N/A	N/A

3. Other Classes of Shares

Stock code : <u>N/A</u> Descri	ption :	N/A	A		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding month	N/A	N/A	N/A		
Increase/(decrease)	N/A		N/A		
Balance at close of the month	N/A	N/A	N/A		

Total authorised share capital at the end of the month (*HK\$*)20,000,000

II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes		
	(1)	(2)	shares	of shares		
Balance at close of preceding month	1,059,778,200	N/A	<u>N/A</u>	N/A		
Increase/ (decrease) during the month	0	N/A	<u>N/A</u>	<u>N/A</u>		
Balance at close of the month	1,059,778,200	N/A	<u>N/A</u>	N/A		

III. Details of Movements in Issued Share Capital

Particulars of share option scheme					No. of new shares of issuer issued during	No. of new shares of issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement duri	ng the month		thereto	as at close of the month
(dd/mm/yyyy) and -			0			
class of shares		F · 1		. .		
issuable	Granted	Exercised	Cancelled	Lapsed		
1.N/A						
	N/A	NI/A	N/A	NI/A	N/A	N/A
shares (Note 1)	IN/A	N/A	IN/A	N/A	IN/A	IN/A
(Note 1)						
2. N/A						
2.10/A						
shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)	10/11					
(
3. N/A						
(/ /)						
shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)						
		То	otal A. (Ordin	ary shares)	N/A	
				nce shares)	N/A	
			(0	Other class)	N/A	
Total funds raised du	ring the month	n from exercise o	of			
options (State current				N/A		

Warrants to Issue Shares of the Issuer which are to be Listed

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	-					
	N/A	N/A	N/A	N/A	N/A	N/A
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)				
2. N/A	-					
	N/A	N/A	N/A	N/A	N/A	N/A
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A	(/ /)				
	N/A	N/A	N/A	N/A	N/A	N/A
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	(/ /)				
	- NI/A	NI/A	NI/A	NI/A	NT/A	NT/A
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	N/A)	N/A	<u>N/A</u>	N/A	<u>N/A</u>
		Total	(Prefere	nary shares) ence shares) Other class)	N/A N/A N/A	

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible i Class and description 1. N/A		Amount at close of preceding month	, , , , , , , , , , , , , , , , , , ,	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Stock code (if listed)	N/A	N/A	N/A	N/A	N/A	N/A
Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A	(/ /)					
Stock code (if listed)		N/A	N/A	N/A	N/A	N/A
Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy) 3. N/A	(/ /)					
	N/A	N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	(/ /)					
		N/A	N/A	N/A	N/A	N/A
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)		Total C (Ordin	any charge)	N/A	
				ary shares) ence shares) Other class)	N/A N/A N/A	

than under Share Option Schemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>	_	
(/ /) shares (Note 1)	N/A	N/A
2. <u>N/A</u>	-	
(/ /) shares (Note 1)	N/A	N/A
3. <u>N/A</u>	-	
		27/4
shares (Note 1)	N/A	N/A
Total D. (Ordinary shares) (Preference shares)	N/A N/A	
(Other class)	N/A	

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

	Type of Issue	Issued Share Capital			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Rights issue	At price : State currency	Class of shares issuable (<i>Note 1</i>) - Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /	N/A	N/A
2.	Open offer	At price : State	Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /	N/A	N/A
3.	Placing	At price : State	Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /	N/A	N/A
4.	Bonus issue		Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /	N/A	N/A

	Type of Issue	I	For]	<u>Main</u>	Boar	rd and GEM lis No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of
	••						
		Class of shares issuable (Note 1)					
5.	Scrip dividend At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares repurchased (<i>Note 1</i>)					
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of above					
		Class of shares redeemed (<i>Note 1</i>)					
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares issuable (<i>Note 1</i>)					
8.	Consideration issue At price : State currency —	Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	(/ /)		

	Type of Issue			For Main E	No. o shar issuer durin mo purs	GEM liss f new es of issued ag the onth cuant reto	No. of new shares of
	Conital		Class of shares issua (Note 1)	able	_		
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issua (Note 1)	able	_		
10.	Other (Please specify)	At price : State currency	— Issue and allotment date : (dd/mm/yyyy)	(/ /)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Total E.	(Ordinary sh (Preference sh (Other)	nares) N	N/A N/A N/A	-

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	0
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	-	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	-	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)		

Remarks (if any):

Submitted by: Mr. Li Kwok Cheung, George

Title:Executive Director and Company Secretary
(Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. *If there is insufficient space, please append the prescribed continuation sheet.*