

For the month ended (dd/mm/yyyy):

## Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearin	g Limited		
Name of Issuer UBA In Date Submitted 02/11/20	vestments Limited		
I. Movements in Authorised Share Capi	tal		
1. Ordinary Shares			
(1) Stock code : 768 Descri	ption:	UBA Investme	nts Limited
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)
Balance at close of preceding month	2,000,000,000	0.01	20,000,000
Increase/(decrease)	0		0
Balance at close of the month	2,000,000,000	0.01	20,000,000
(2) Stock code : N/A Descri	ption :	N/A	<u> </u>
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A	N/A	N/A
Increase/(decrease)	N/A		N/A
Balance at close of the month	N/A	N/A	N/A

31/10/2011

20,000,000

2. Preference Shares				
Stock code: N/A Descri	iption:	N/A		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A N/A		
Increase/(decrease)	N/A	,	N/A	
Balance at close of the month	N/A	N/A	N/A	
3. Other Classes of Shares				
Stock code : N/A Descri	iption :	N/A		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	

Total authorised share capital at the end of the month (HK\$)

## II. Movements in Issued Share Capital

	No. of ordinary shares (1) (2)		No of preference	No. of other classes of shares	
			shares		
Balance at close of preceding month	1,059,778,200	N/A	N/A	N/A	
Increase/ (decrease) during the month	0	N/A	N/A	N/A	
Balance at close of the month	1,059,778,200	N/A	N/A	<u>N/A</u>	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of share No. of new shares of No. of new shares of option scheme issuer issued during issuer which may be including EGM issued pursuant thereto the month pursuant as at close of the month approval date thereto Movement during the month (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1.N/A N/A N/A N/A N/A N/Ashares N/A (*Note 1*) 2. N/A shares N/A N/A N/A N/A N/A N/A (*Note 1*) 3. N/A N/A N/A N/A N/A N/A N/A shares (*Note 1*) Total A. (Ordinary shares) N/A (Preference shares) N/A (Other class) N/A Total funds raised during the month from exercise of options (State currency) N/A

Warrants to Issue Shares of the Issuer which are to be Listed

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	-					
	- N/A	N/A	N/A	N/A	N/A	N/A
( / / )	14/11	11/11	14/11	14/11	14/11	14/11
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	´ )				
2. N/A	=					
	- NT/A	NT/A	NT/A	NT/A	NT/A	NT/A
	N/A	<u>N/A</u>	N/A	N/A	N/A	<u>N/A</u>
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	· )				
3. N/A	-					
	-					
	N/A	N/A	N/A	N/A	N/A	N/A
( / / ) Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	· )				
4. N/A	<u>-</u>					
	- NT/A	NT/A	NT/A	<b>NT/A</b>	NT/A	NT/A
( / / )	N/A	<u>N/A</u>	N/A	<u>N/A</u>	N/A	<u>N/A</u>
Stock code (if listed)						
Class of shares						
issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date						
(if applicable)		,				
(dd/mm/yyyy)	( / /	)				
		Total	B. (Ordi	nary shares)	N/A	
				ence shares)	N/A	
			(	Other class)	N/A	

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant pursuant thereto as at Currency of Amount at close Converted Amount at thereto close of the amount of preceding during the close of the month Class and description outstanding month month month 1. N/A N/AN/A N/A N/A N/A N/AStock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)( / / ) 3. N/A N/AN/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares) N/A (Preference shares) (Other class) N/A

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A  ( / / )  shares (Note 1)	N/A	N/A
2.	N/A		
-	shares (Note 1)	N/A	N/A
3.	N/A		-
-	( / / )		
-	shares (Note 1)	N/A	N/A
-	(2.000 2)	** <del>** **</del>	
-	Total D. (Ordinary shares)	N/A	
-	(Preference shares)	N/A	
-	(Other class)	N/A	
	,	,	

Other Movements in Issued Share Capital No. of new No. of new shares of shares of issuer issued issuer which during the may be month issued pursuant pursuant thereto thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) At price : State currency ( / / ) Issue and allotment 1. Rights issue N/A N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) At price : State currency ( / / ) Issue and allotment Open offer N/A N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) ( / / ) At price : State currency Issue and allotment N/A N/A 3. Placing date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_\_\_\_\_ (*Note 1*) ( / / ) Issue and allotment N/AN/A Bonus issue date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy)

	Type of Issue	1	. 61 1116	<u> DU</u>	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue					
5.	Scrip dividend At price : State current	EGM approval date:	( /	/ ) / )		N/A
		(dd/mm/yyyy)				
		Class of shares repurchased (Note 1)				
6.	Repurchase of shares	Cancellation date: (dd/mm/yyyy)	( /	/ )	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( /	/ )		_
		Class of shares redeemed ( <i>Note 1</i> )				
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	( /	/ )	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( /	/ )		
		Class of shares issuable (Note 1)				
8.	Consideration issue At price : State current	y Issue and allotment date : (dd/mm/yyyy)	( /	/ )	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( /	/ )		

For Main Board and GEM listed issuers No. of new No. of new shares of shares of issuer which issuer issued during the may be issued month pursuant pursuant thereto thereto as at close of the month Type of Issue Class of shares issuable -(*Note 1*) ( / / ) 9. Capital Issue and allotment N/A N/A reorganisation date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) At price : State currency 10. Other ( / / ) N/A N/A Issue and allotment (Please specify) date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) (Ordinary shares) Total E. N/A (Preference shares) N/A (Other class) N/A 0 Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1) N/A (2) N/A Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

N/A

Remarks (if any):				
Submitted by: Mr. CHENG Wai Lun, Andrew				
Title: Executive Director (Director, Secretary or other duly authorised officer)				

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.