

For the month ended (dd/mm/yyyy):

## Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearin	g Limited		
Name of Issuer UBA In  Date Submitted 03/10/20	vestments Limited 017		
I. Movements in Authorised Share Capi	tal		
1. Ordinary Shares			
(1) Stock code : 768 Descri	ption:	UBA Investme	nts Limited
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)
Balance at close of preceding month	2,000,000,000	0.01	20,000,000
Increase/(decrease)	0		0
Balance at close of the month	2,000,000,000	0.01	20,000,000
(2) Stock code : N/A Descri	ption :	N/A	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A	<u>N/A</u>	N/A
Increase/(decrease)	N/A		N/A
Balance at close of the month	N/A	N/A	N/A

30/09/2017

Stock code : N/A Descri	iption :	N/A	A	
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A N/A N/A		N/A	
Increase/(decrease)	N/A		N/A	
Balance at close of the month	N/A	N/A		
3. Other Classes of Shares				
Stock code : N/A Descri	iption :	N/A	A	
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	

Total authorised share capital at the end of the month (HK\$) 20,000,000

N/A

N/A

Balance at close of the month

## II. Movements in Issued Share Capital

	No. of ordina	ry shares	No of preference	No. of other classes		
	(1)	(2)	shares	of shares		
Balance at close of preceding month	1,059,778,200	N/A	N/A	N/A		
Increase/ (decrease) during the month	0	N/A	N/A	N/A		
Balance at close of the month	1,059,778,200	N/A	N/A	N/A		

## III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	Schemes of the I	ssuer)				
Particulars of share option scheme including EGM					No. of new shares of issuer issued during the month pursuant	No. of new shares of issuer which may be issued pursuant thereto	
approval date					thereto	as at close of the month	
(dd/mm/yyyy) and class of shares			•				
issuable	Granted	Exercised	Cancelled	Lapsed			
1.N/A							
shares	N/A	N/A	N/A	N/A	N/A	N/A	
(Note 1)	11/71			11/71	11/71	1\(\frac{1}{\text{T}}\)	
2. N/A							
shares	N/A	N/A	N/A	N/A	N/A	N/A	
(Note 1)  3. N/A  (// / )							
shares	N/A	N/A	N/A	N/A	N/A	N/A	
( <i>Note 1</i> )		-				-	
		To	tal A. (Ordin	ary shares)	N/A		
			(Preference shares)		N/A		
	(Other class)		N/A				
Total funds raised duroptions (State currence		n from exercise of	•	N/A			

For Main Board and GEM listed issuers Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. N/A N/A N/A N/A N/A N/A N/A / / Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) ( / / )

Total B.

(Ordinary shares) (Preference shares)

(Other class)

N/A

N/A

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Amount at close Converted Amount at thereto close of the amount of preceding during the close of the month Class and description outstanding month month month 1. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) ( / / )(dd/mm/yyyy) 3. N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A N/A N/A N/A N/A N/A N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares) (Preference shares) N/A (Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

than under Share Option S	Schemes)		
if applicable, and class of	g EGM approval date (dd/mm/yyyy), of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A			
	( / / ) shares (Note 1)	N/A	N/A
2. <u>N/A</u>			
	( / / ) shares (Note 1)	N/A	N/A
3. N/A		1,172	
	( / / )		
	shares (Note 1)	N/A	N/A
	Total D. (Ordinary shares)	N/A	
	(Preference shares) (Other class)	N/A N/A	

Other Movements in Issued Share Capital No. of new No. of new shares of shares of issuer issued issuer which during the may be month issued pursuant pursuant thereto thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) ( / / ) At price : State currency Issue and allotment N/A 1. Rights issue N/Adate: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) ( / / ) At price : State currency Issue and allotment 2. Open offer N/A N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_\_\_ (*Note 1*) ( / / ) At price : State currency Issue and allotment N/A Placing N/Adate: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) ( / / ) Issue and allotment N/A 4. Bonus issue N/A date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy)

For Main Board and GEM listed issuers

	Type of Issue					<u> </u>	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of
_		. State	Class of shares issuable (Note 1) Issue and allotment				N/A	N/A
5.	Scrip dividend	At price : State currency ———	date : (dd/mm/yyyy)				- "	
			EGM approval date: (dd/mm/yyyy)	( /	/	)		
			Class of shares repurchased (Note 1)					
6.	Repurchase of shares		Cancellation date:	( /	/	)	N/A	N/A
	Shares		(dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( /	/	)		
			Class of shares redeemed (Note 1)					
7.	Redemption of shares		Redemption date : (dd/mm/yyyy)	( /	/	)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	( /	/	)		
			Class of shares issuable (Note 1)					
8.	Consideration issue	At price : State currency ———	Issue and allotment date : (dd/mm/yyyy)	( /	/	)	N/A	N/A
			EGM approval date: (dd/mm/yyyy)	( /	/	)		

			For Main		and GEM lis	
	Type of Issue				No. of new shares of ssuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		Class of shares issuab (Note 1)	e			
9.	Capital reorganisation	Issue and allotment date : (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares issuab	ole			
10.	Other (Please specify) At price : State currency	(Note 1)  Issue and allotment date: (dd/mm/yyyy)	( / /	)	N/A	N/A
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Total E.	(Ordinary s Preference s (Other	shares)	N/A	
То	otal increase / (decrease) in ordinary shares during th	ne month (i.e. Total of A t	to E):	(1)	<del></del>	0 N/A
To	otal increase / (decrease) in preference shares during	the month (i.e. Total of A	A to E):	(2)	'	N/A
	otal increase / (decrease) in other classes of shares du				-	N/A

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):					
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Submitted by: Mr. CHENG Wai Lun, Andrew					
Title: Executive Director (Director, Secretary or other duly authorised officer)					

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.